

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

P. Srirama Rao et al.

Application No.: 10/796,580

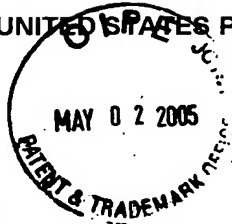
Filing Date: March 8, 2004

Title: METHOD AND APPARATUS FOR EXTERNAL HEART STABILIZATION

Group Art Unit: 3762

Examiner:

Confirmation No.: 1103



**FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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402 W. Broadway  
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By

Joseph R. Baker, Jr.

Registration No. 40,900

Date: April 25, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Date of Deposit: April 25, 2005

Kim A. Cabello  
Typed Name:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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P. Srirama Rao et al.

Application No.: 10/796,580

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For: METHOD AND APPARATUS FOR  
EXTERNAL HEART STABILIZATION

)  
)  
) Group Art Unit: 3762

)  
) Examiner: Unassigned

)  
) Confirmation No.: 1103

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

**U.S. Patent Documents**

6,077,218	Alferness	06-2000
6,123,662	Alferness	09-2000
6,126,590	Alferness	10-2000

**Foreign Patent Documents**

WO 01/91667	WO	12-2001
WO 02/00099	WO	09-2002

**Non-Patent Literature Documents**

Bolling et al., J. Thorac. Cardiovasc. Surg. (1998) 115:381-388;  
Lamas et al., Circulation (1997) 96:827;  
Liel-Cohen et al., Circulation (2000) 101(23):2756-2763;  
Pai et al., Circulation (2000) 102(18)Suppl.II:369; and  
Timek et al., Circulation (2000) 102(18)Suppl.:420.

All of the listed documents were previously made of record in prior Application Serial No. 10/236,640, filed September 6, 2002, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98, a copy of each of the listed documents, except those documents which were previously made of record in the prior application, is enclosed.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date April 25, 2005

By: 

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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/796,580
Filing Date	March 8, 2004
First Named Inventor	P. Srirama Rao et al.
Examiner Name	Unassigned
Attorney Docket Number	034108-008

MAY 02 2005

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,077,218		Alferness	06-2000
	6,123,662		Alferness	09-2000
	6,126,590		Alferness	10-2000

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	WO 01/91667		WO	12-2001							
	WO 02/00099		WO	09-2002							

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Bolling et al., J. Thorac. Cardiovasc. Surg. (1998) 115:381-388.
	Lamas et al., Circulation (1997) 96:827.
	Liel-Cohen et al., Circulation (2000) 101(23):2756-2763.
	Pai et al., Circulation (2000) 102(18)Suppl.II:369.
	Timek et al., Circulation (2000) 102(18)Suppl.:420.

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.